

A circular stamp from the Office of Intellectual Property (OIP). The text "OIP" is at the top, "JC10" is at the top right, and "OFFICE OF INTELLECTUAL PROPERTY" is written around the right side. The date "DEC 04 2003" is in the center, and "PATENT &amp; TRADEMARK" is at the bottom.

† ADMITTED ONLY IN CALIFORNIA

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Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Supplemental Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

- ☒ Form PTO-1449 listing five (5) references submitted for consideration.
- ☒ A copy of each of the references listed on the Form PTO-1449.
- ☐ English translations of \_\_\_\_\_ (\_\_\_\_) of the references listed on the Form PTO-1449 which are not in the English language.
- ☐ Copies of the following documents from the prosecution of a previous, related application:
  - ☐ Form PTO-1449 AND INFORMATION DISCLOSURE STATEMENT; and
  - ☐ Form PTO-892

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Supplemental Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:

- I. ☒ Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.
- II. ☐ Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:
  - ☐ Promptness Certification; or
  - ☐ Check No. \_\_\_\_\_ in the amount of \$240.00 constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
- III. ☐ After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:
  - ☐ Promptness Certificate;
  - ☐ Petition for Consideration; and

\_\_\_\_ Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

IV. \_\_\_\_ After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:

\_\_\_\_ Petition to Withdraw from Issue; and

\_\_\_\_ Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

X Any fee required in relation to filing of this letter or any documents transmitted therewith.

\_\_\_\_ The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).

\_\_\_\_ The submission fee set forth in 37 C.F.R. § 1.17(p).

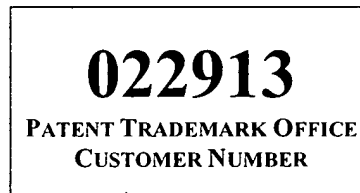
\_\_\_\_ The petition fee set forth in 37 C.F.R. § 1.17(i)(1).

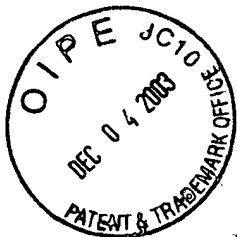
Dated this 2<sup>nd</sup> day of December 2003.

Respectfully submitted,



DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246





#4  
35-2766  
12.9.03 2134

PATENT APPLICATION

Docket No: 13587.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Trevor Merry et al.

Serial No.: 09/553,454

Filed: April 19, 2000

For: SYSTEM FOR IMAGE ENCODING AND DECODING  
AND THE PRINTABLE SECURITY DEVICE PRODUCED  
THEREFROM

RECEIVED

DEC 05 2003

Technology Center 2100

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CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents PO Box 1450, Arlington, Virginia 22313-1450

- Transmittal for Information Disclosure Statement (3 pgs.)
- Information Disclosure Statement (2 pgs.)
- Form PTO-1449 listing five (5) references (2 pgs.)
- A copy of each of the references listing on the Form PTO-1449
- Postcard

Dated this 2<sup>nd</sup> day of December 2003.

Respectfully submitted,

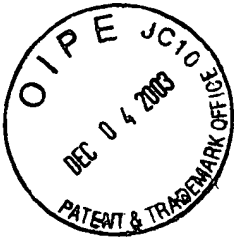
DANA L. TANGREN  
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PATENT APPLICATION  
Docket No: 13587.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
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 Trevor Merry et al. )  
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 Serial No.: 09/553,454 ) Art Unit  
 ) 2766  
 Filed: April 19, 2000 )  
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 For: SYSTEM FOR IMAGE ENCODING AND DECODING )  
 AND THE PRINTABLE SECURITY DEVICE PRODUCED )  
 THEREFROM )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

**RECEIVED**

DEC 05 2003

Commissioner for Patents  
PO Box 1450  
Arlington, Virginia 22313-1450

Technology Center 2100

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed listed references are disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

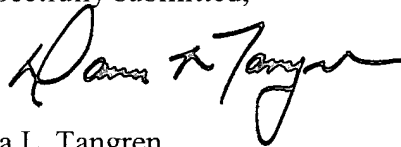
Statement of Relevance of References Listed  
Unaccompanied by English Translation  
Under 37 CFR § 1.98(a)(3)

In accordance with 37 CFR § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided.

Swiss Patent No. 399 786 appears to provide the same disclosure as U.S. Patent No. 2,952,080.

Dated this 2<sup>nd</sup> day of December 2003.

Respectfully submitted,



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